



## Arteriovenous Malformations: Congenital or Acquired Lesions?

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■ **OBJECTIVE:** Arteriovenous malformations (AVMs) were believed to be congenital. However, an increasing number of de novo AVM cases have questioned this doctrine.

■ **METHODS:** A consensus meeting of international experts attempted to establish a consensus on the nature of these relatively rare but challenging vascular lesions. In addition, an extensive search of the subject was performed using the PubMed medical database.

■ **RESULTS:** All participants agreed that genetic factors may play a role in the pathogenesis of AVMs. All but 1 participant believed that an underlying genetic predisposition may be detected later on in a patient's life, whereas genetic variations may contribute to sporadic AVM formation. The presence of genetic variations alone may not be enough for an AVM formation. A second hit is probably required. This consensus opinion is also supported by our literature search.

■ **CONCLUSIONS:** We discuss the literature on the genetics of AVMs and compare it with the consensus meeting outcomes. The congenital or noncongenital character of intracranial AVMs has an impact on the understanding their biological behavior, as well as their efficient short-term and long-term management.

intervening capillary bed. For many years, AVMs had been considered to be congenital.<sup>1-6</sup> By definition, congenital is a lesion present at birth even although it may be discovered later in life. Brain AVMs occur in families with hereditary hemorrhagic telangiectasia (HHT) or hereditary neurocutaneous angiomas.<sup>7,8</sup> Moreover, a few familial cerebral AVMs have been reported in patients with the RASA1 gene mutation.<sup>9</sup> However, families without hereditary diseases have been described to have  $\geq 2$  affected relatives. Familial cerebral AVM is defined as the occurrence of an AVM in  $\geq 2$  relatives (up to third degree) in a family without hereditary disorders.<sup>10</sup> Thus, genetic factors may play a role in the pathogenesis of AVMs.<sup>11-13</sup> In addition, recent genetic analysis studies have clearly shown that certain single nucleotide polymorphisms in the NOTCH4 gene are associated with certain sporadic AVM genotypes but also with the hemorrhage rates.<sup>14</sup> It has been previously postulated that familial AVMs differ from sporadic ones regarding their formation and their clinical phenotype, as well as their molecular behavior.<sup>9,15</sup>

An increasing number of cases of de novo AVM formation have put into question the notion that AVMs are congenital. According to the pertinent literature, many cases are diagnosed in adults without being present at birth, supporting the predisposition that they constitute acquired lesions.<sup>16-18</sup> On the other hand, AVM recurrence may occur after complete extirpation of the initial lesion (confirmed by postoperative digital subtraction angiography [DSA]). This phenomenon has been mostly described in pediatric populations.<sup>5,19-24</sup> Thus, Karlsson et al.<sup>25</sup> believe that AVMs are developmental rather than congenital malformations and develop as long as the brain matures (i.e., until the age of 25 years). In an earlier study applying a mathematical model for calculating the AVM-associated risk of hemorrhage by Karlsson et al., it was assumed that AVMs are congenital. However, if this were the case, the risk for hemorrhage should have been increasing every

### INTRODUCTION

Cerebral arteriovenous malformation (AVM) refers to a tangle of abnormally connected arteries and veins, forming a network of arteriovenous shunts, without an

#### Key words

- Acquired lesions
- Cerebral arteriovenous malformations
- Congenital lesions
- De novo formation
- Socioeconomic ramifications

#### Abbreviations and Acronyms

**AVM:** Arteriovenous malformation  
**DSA:** Digital subtraction angiography  
**HHT:** Hereditary hemorrhagic telangiectasia

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**Table 1.** A Total of 43 Full-Text Articles, Referring to De Novo Arteriovenous Malformation Formation Case Reports, Included in Our Literature Search

|           | Reference                                    | Cases (n) | Status              |
|-----------|--|-----------|---------------------|
| 1         | Akimoto et al., 2003 <sup>19</sup>           | 1         | PubMed search       |
| 2         | Alvarez et al., 2012 <sup>34</sup>           | 1         | PubMed search       |
| 3         | Bai et al., 2012 <sup>35</sup>               | 1         | PubMed search       |
| 4         | Bulsara et al., 2002 <sup>36</sup>           | 1         | PubMed search       |
| 5         | Dogan et al., 2019 <sup>37</sup>             | 1         | PubMed search       |
| 6         | Friedman et al., 2000 <sup>38</sup>          | 1         | Reference screening |
| 7         | Fujimura et al., 2014 <sup>39</sup>          | 1         | PubMed search       |
| 8         | Fuse et al., 2001 <sup>40</sup>              | 1         | Reference screening |
| 9         | Gonzalez et al., 2005 <sup>23</sup>          | 1         | PubMed search       |
| 10        | Harris et al., 2000 <sup>41</sup>            | 1         | Reference screening |
| 11        | Jeffree and Stoodley, 2009 <sup>42</sup>     | 3         | Reference screening |
| 12        | Kilbourn et al., 2014 <sup>43</sup>          | 1         | PubMed search       |
| 13        | Koch et al., 2016 <sup>44</sup>              | 1         | PubMed search       |
| 14        | Krayenbühl, 1977 <sup>45</sup>               | 1         | Reference screening |
| 15        | Lo Presti et al., 2018 <sup>46</sup>         | 1         | PubMed search       |
| 16        | Lv and Wang, 2018 <sup>47</sup>              | 1         | PubMed search       |
| 17        | Mahajan et al., 2009 <sup>48</sup>           | 1         | PubMed search       |
| 18        | Markham and Hollingworth, 2015 <sup>49</sup> | 1         | Reference screening |
| 19        | Mathon et al., 2013 <sup>50</sup>            | 1         | PubMed search       |
| 20        | McKinney et al., 2008 <sup>51</sup>          | 1         | PubMed search       |
| 21        | Mendelow et al., 1987 <sup>52</sup>          | 1         | Reference screening |
| 22        | Miller et al., 2014 <sup>53</sup>            | 1         | PubMed search       |
| 23        | Miyasaka et al., 2003 <sup>54</sup>          | 1         | Reference screening |
| 24        | Morales-Valero et al., 2014 <sup>17</sup>    | 2         | Reference screening |
| 25        | Morioka et al., 1988 <sup>55</sup>           | 1         | Reference screening |
| 26        | Nakamura et al., 2016 <sup>56</sup>          | 1         | PubMed search       |
| 27        | Neil et al., 2014 <sup>57</sup>              | 1         | PubMed search       |
| 28        | Nussbaum et al., 1998 <sup>58</sup>          | 1         | Reference screening |
| 29        | O'Shaughnessy et al., 2005 <sup>24</sup>     | 1         | PubMed search       |
| 30        | Ozсарac et al., 2012 <sup>59</sup>           | 1         | PubMed search       |
| 31        | Pabaney et al., 2016 <sup>60</sup>           | 1         | PubMed search       |
| 32        | Peeters, 1982 <sup>61</sup>                  | 1         | Reference screening |
| 33        | Porter and Bull, 1969 <sup>1</sup>           | 1         | Reference screening |
| 34        | Rodriguez Arias et al., 2000 <sup>62</sup>   | 1         | Reference screening |
| 35        | Santos et al., 2018 <sup>63</sup>            | 1         | PubMed search       |
| 36        | Schmit et al., 1996 <sup>64</sup>            | 1         | Reference screening |
| 37        | Shi et al., 2017 <sup>65</sup>               | 2         | PubMed search       |
| 38        | Shidoh et al., 2017 <sup>66</sup>            | 1         | PubMed search       |
| Continues |  |           |                     |

**Table 1.** Continued

|    | Reference                          | Cases (n) | Status              |
|----|------------------------------------|-----------|---------------------|
| 39 | Shimoda et al., 2016 <sup>67</sup> | 1         | PubMed search       |
| 40 | Song et al., 2007 <sup>68</sup>    | 1         | Reference screening |
| 41 | Stevens et al., 2009 <sup>69</sup> | 1         | PubMed search       |
| 42 | Wu et al., 2014 <sup>70</sup>      | 1         | PubMed search       |
| 43 | Yeo et al., 2014 <sup>71</sup>     | 2         | PubMed search       |

year, resulting in unrealistically high hemorrhage risks for elderly patients, which is not observed.<sup>26</sup>

Although AVMs are rare, they constitute the leading cause of hemorrhagic stroke in children and young adults.<sup>27-33</sup> Individuals harboring AVMs are subjected to lifelong risk of morbidity and mortality.<sup>27-32</sup> The issue of the nature of cerebral AVMs remains controversial. However, it is of paramount importance to unravel the natural history of these lesions for a better understanding of their biological behavior and proper long-term management, as well as for their socioeconomic ramifications.

In this article, we attempt to establish a consensus on the nature of these rare but challenging vascular lesions.

## METHODS

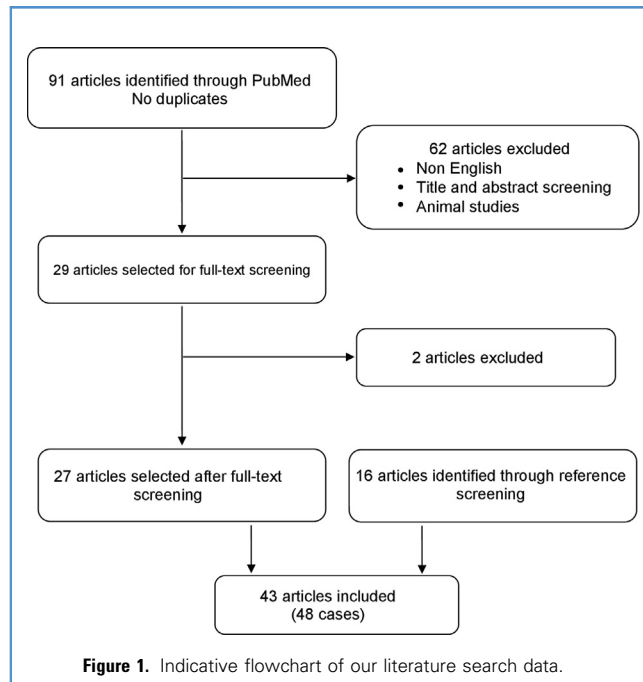
An extensive search of the PubMed medical database was performed using the terms “arteriovenous malformations,” “cerebral arteriovenous malformations,” “intracranial arteriovenous malformations,” “AVMs,” “brain AVMs,” “de novo,” “sporadic,” and “acquired,” in all their possible combinations. The search was limited to articles in English, and only in series of human patients. In addition, the references of the retrieved articles were meticulously reviewed for any additional articles of interest. Special attention was paid to avoiding repetition of clinical data from overlapping series, published in different journals or at different times. However, such redundancies cannot be ruled out.

In March 2019, a consensus meeting on the natural history of brain AVMs, involving worldwide experts on AVM management, was held in Athens, Greece. There were 3 main questions: 1) Are AVMs congenital or acquired lesions?; 2) Are AVMs present at birth?; and 3) Does that have any impact on the overall management of patients harboring AVMs?.

## RESULTS

### Literature Data

A total of 91 articles were identified by our literature search. Sixty-two were excluded on the basis of the title and abstract screening. Twenty-nine records without duplications and/or repetition of data from overlapping series were screened for eligibility. In addition, 16 studies were added after meticulous review of the references of the retrieved articles. Forty-three full-text articles, referring to de novo AVM formation case reports (48 cases), met our inclusion criteria (Table 1). A summation of our literature search data is shown in Figure 1.



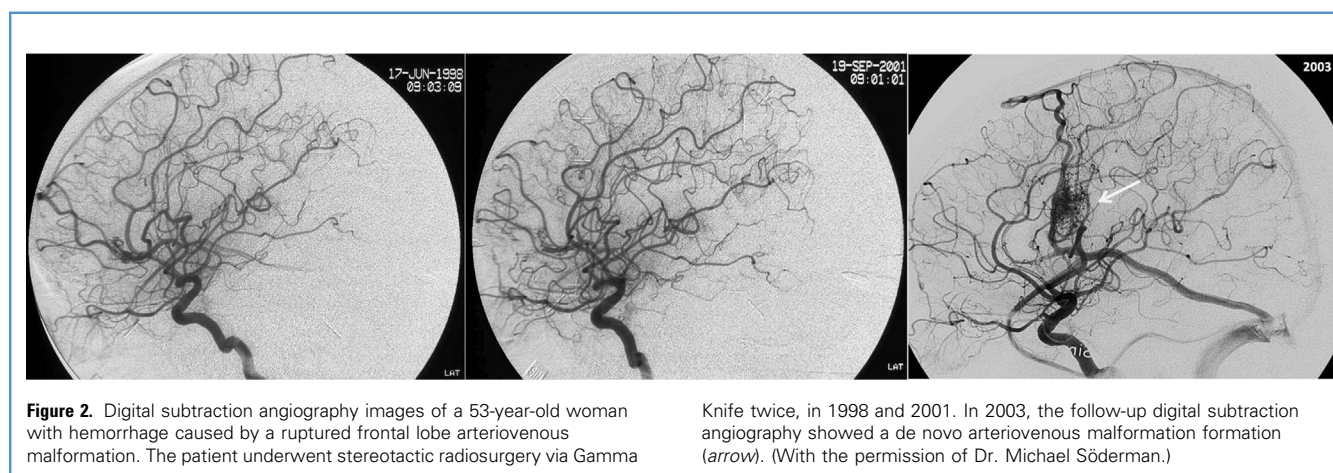
According to the pertinent literature, AVMs have been described in families with HHT, cavernous malformation–AVM familial lesions, or hereditary neurocutaneous angiomatous malformations.<sup>9</sup> However, there is an increasing body of evidence, including animal model and clinical data, that AVM formation may involve an interaction between genetic susceptibility and environmental/acquired factors.<sup>15</sup> Therefore, it is believed that the presence of a genetic abnormality alone is not enough to trigger AVM formation, and thus, a second hit is required. This second hit may well be an environmental factor such as a hypoxic insult, trauma, radiation exposure, epilepsy, an inflammatory process, a neuronal migration disorder, hydrocephalus, or a hormonal disturbance.<sup>15</sup> This second

hit induces angiogenesis through various different mechanisms including vascular endothelial growth and vessel sprouting through upregulation of vascular endothelial growth factor and hypoxia-inducible growth factor  $\alpha$ .<sup>15</sup> This process is followed by a stabilization phase, during which vascular pericytes differentiate into smooth muscles cells via upregulation of endothelial platelet-derived factors, such as factor-sz and factor-sz1.<sup>15</sup> Furthermore, several previously reported animal models support the theory of an external second hit in genetically predisposed animals. Hence, the increasing number of documented cases of de novo AVM formation postulates that most AVMs are sporadic, supporting the theory that most of these lesions may form after birth.

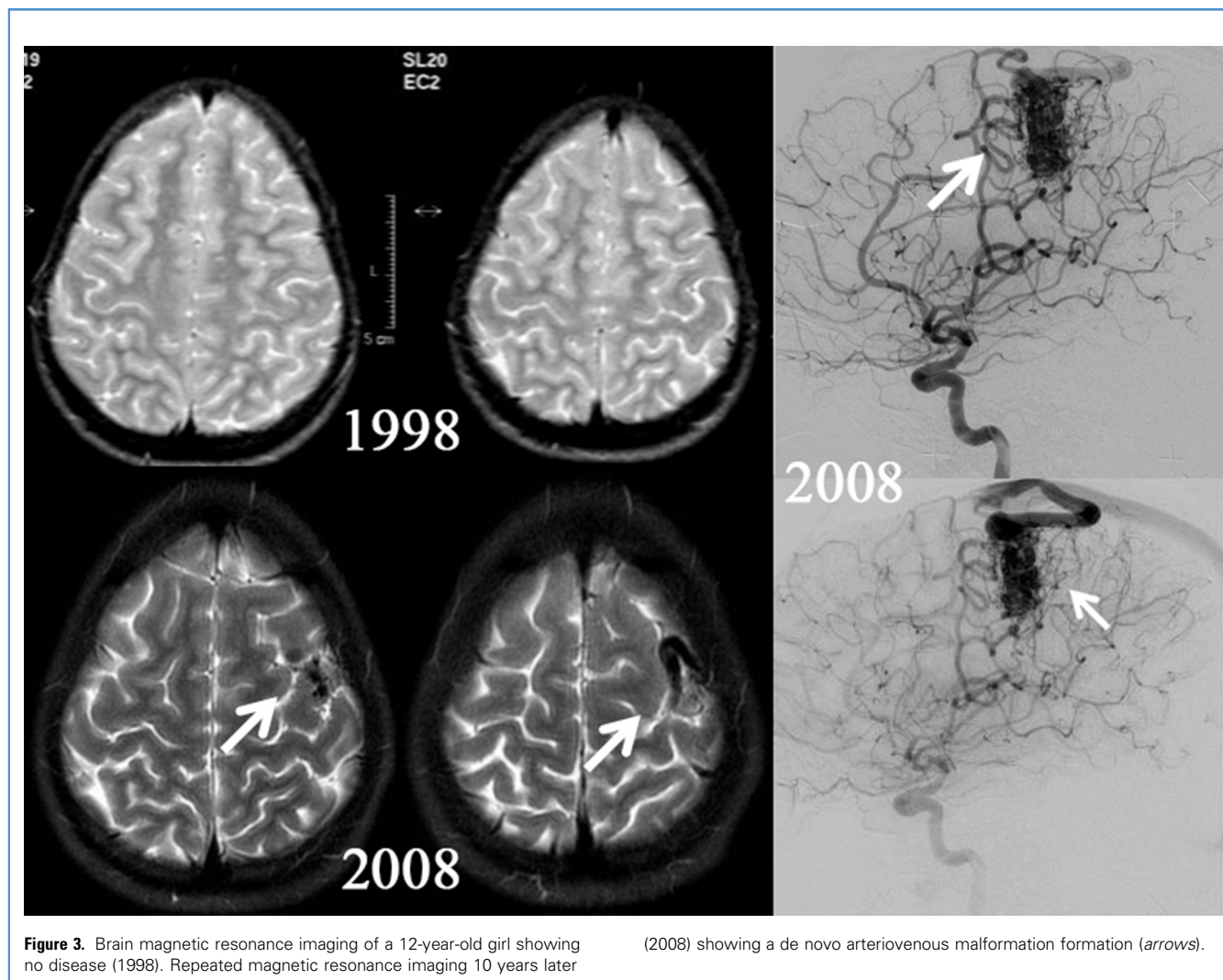
### Consensus Statements

The main question posed in our consensus meeting was if AVMs are congenital or acquired lesions. All participants agreed that genetic factors might play a role in the pathogenesis of AVMs. Most of the authors (all but one) believe that there may be an underlying genetic predisposition, which may become detectable later. Genetic variations may contribute to sporadic AVM risk. However, genetic susceptibility alone is not enough. A combination of environmental factors, the so-called second hit in the setting of gene abnormalities, is required for the formation of an AVM. Two of the participants strongly believe that the theory of AVMs being congenital lesions is a myth. One of them supports that AVMs are developmental lesions and develop until the age of 25 years, when the cerebral vasculature becomes mature. The opinion of all participants regarding the presence of AVMs at birth was that AVMs may not be present at birth and may develop later in life.

Regarding the third question about the importance of defining the congenital character of intracranial AVMs, all participants affirmed that this definition is of paramount importance for understanding the biological behavior, the natural history, and their overall outcome. It is also important for socioeconomic purposes and mostly for reimbursement issues raised by the patients' insurance companies. All emphasized that the main goal is to treat and do no harm, focusing on the fact that AVMs are rare, heterogeneous, and often complex lesions, which require a multidisciplinary approach.







## DISCUSSION

### The AVM Congenital Doctrine

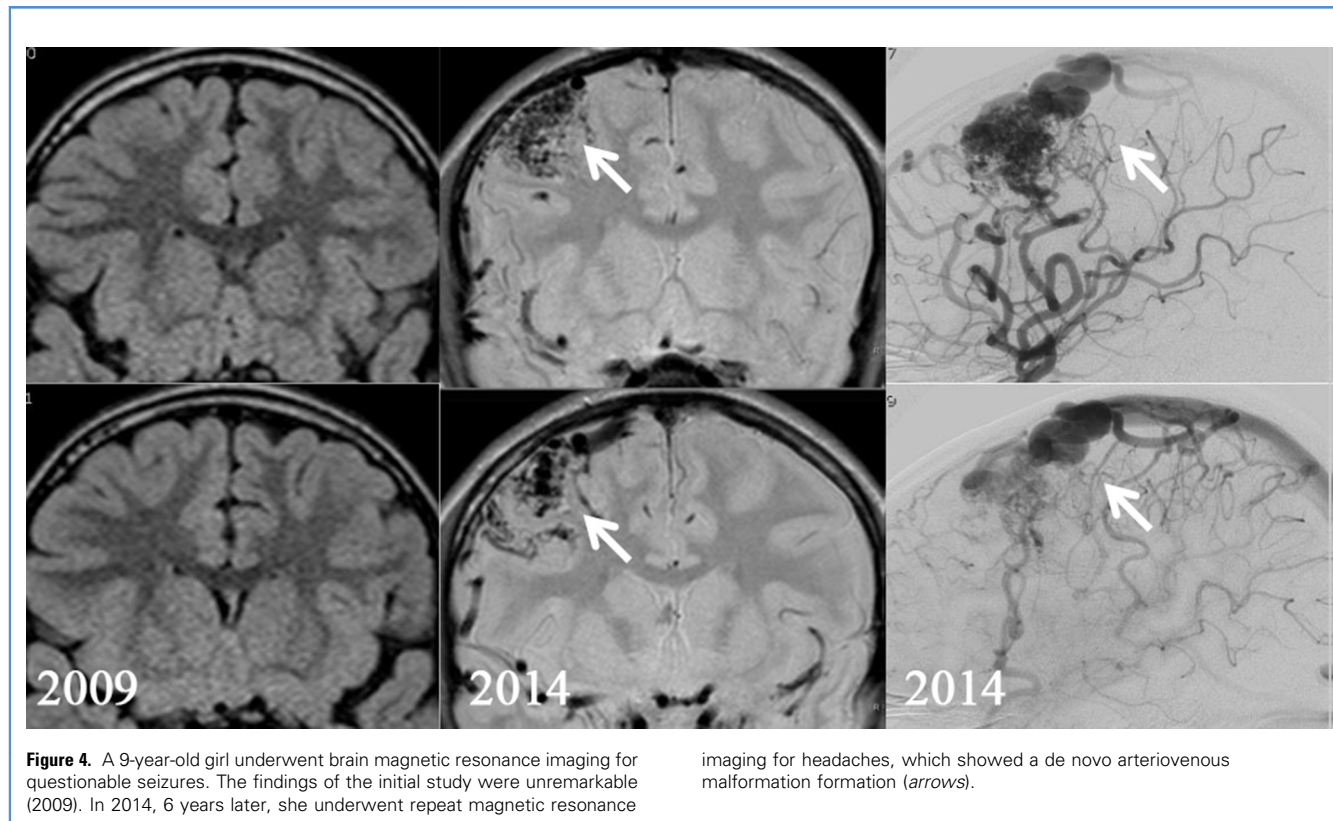
AVMs constitute a rare but important pathologic entity, and this is the most common cause of hemorrhagic stroke in children and young adults.<sup>27-32</sup> Moreover, AVMs are characterized by heterogeneity and complexity and are associated with a different clinical presentation and most likely different outcome. It has been estimated that the lifelong morbidity and mortality are as high as 35% and 29%, respectively.<sup>27,72</sup>

AVMs have been described in families with HHT, an autosomal dominant disorder.<sup>7</sup> AVMs in HHT are similar to the sporadic lesions and cannot be distinguished individually on the basis of their angioarchitecture. Furthermore, brain AVMs occur in families with hereditary neurocutaneous angiomas.<sup>8</sup> Di Rocco and Tamburrini in 2006 stated that brain AVMs were associated with a large port-wine stain birthmark on the forehead in patients with Sturge-Weber syndrome.<sup>73</sup> On the other hand, in Wyburn-Mason syndrome, a nonhereditary disorder,

AVMs are associated with retinal angiomas.<sup>74</sup> Families without hereditary diseases have also been described to have  $\geq 2$  affected relatives. Familial cerebral AVM is defined as the occurrence of an AVM in  $\geq 2$  relatives (up to third degree) in a family without hereditary disorders.<sup>10</sup> Thus, genetic factors may play a role in the development of AVMs.<sup>11,12</sup> In 2007, van Beijnum et al. identified 25 families (53 patients) harboring brain AVMs.<sup>10</sup> These investigators concluded that it remains unclear whether the described families indicate true familial occurrence or represent accidental aggregation.<sup>10</sup>

### De Novo Formation

In the pertinent literature, there is an increasing number of reported de novo cerebral AVMs.<sup>1,17,19,23,24,34-71</sup> The number of AVMs diagnosed in the neonatal period is limited.<sup>75</sup> It has been reported that only 1% of these lesions are identified during the first 2 years of life.<sup>75</sup> In 1996, Mullan et al. considered that AVMs originate early during embryonic development when the embryo is



between 40 and 80 mm long.<sup>4</sup> These investigators also stated that adult AVMs frequently incorporate evidence of an early failure in venous maturation.<sup>4</sup> Recently, Dalton et al.<sup>15</sup> after a meticulous MEDLINE search found 29 AVM cases of de novo formation. All patients had undergone negative high-resolution imaging at some point before the AVM diagnosis. High-resolution DSA was performed in 9 of 29 patients, finding no evidence or even suspicion of an AVM.<sup>15</sup> It is of interest that in all these reported cases, there was some prior external insult to the brain.<sup>15</sup> Moreover, several other anecdotal cases have shown similar results (Figures 2–5). According to the literature, de novo AVM formation seems to be the result of a previous cerebral insult such as brain tumor,<sup>44,46,50</sup> stroke,<sup>42,60,64</sup> trauma,<sup>23,53</sup> inflammation,<sup>36,48</sup> or other nonspecific disorders.<sup>17,69</sup> Thus, de novo AVM formation may represent a second hit with abnormal angiogenesis and vessel formation and sprouting.

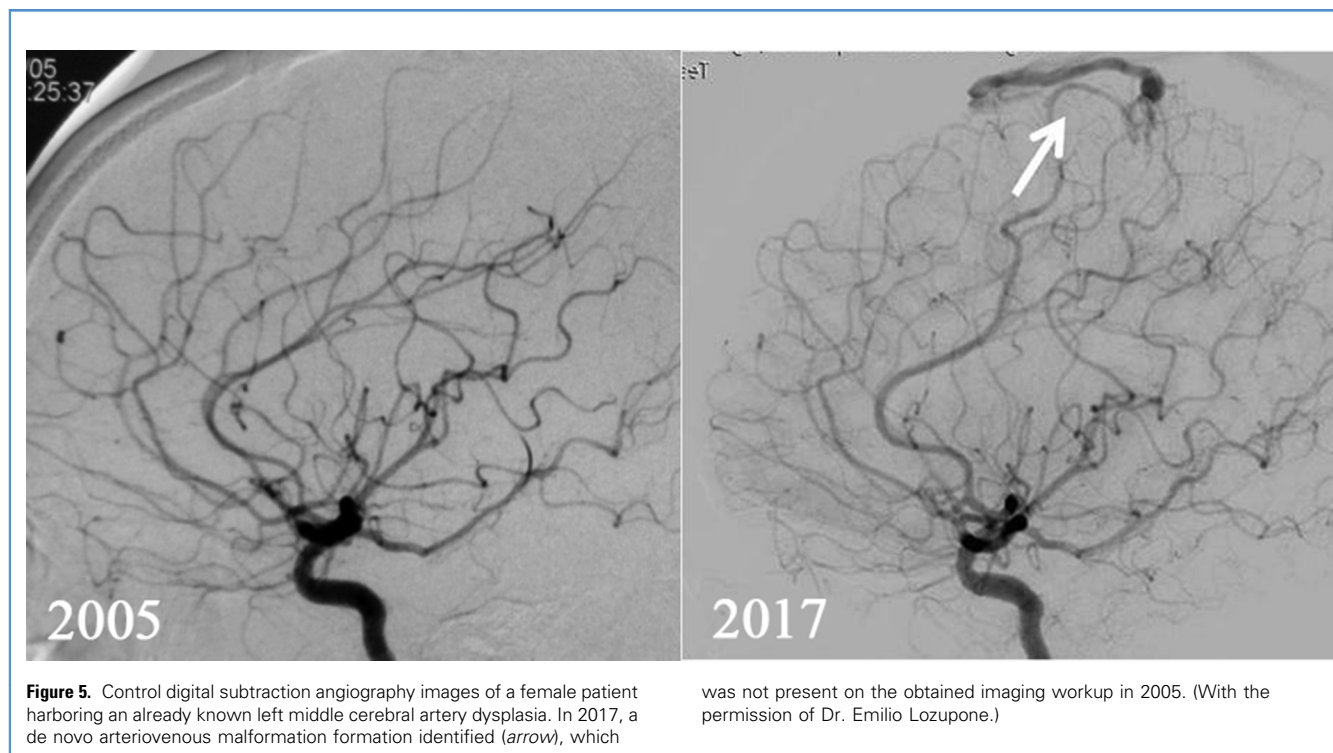
Several investigators have reported AVM recurrence in the pediatric population, after previous complete excision of AVMs, confirmed by postoperative DSA.<sup>19–22,24,76,77</sup> However, it is unclear if this phenomenon could be a consequence of the relatively immature cerebral vasculature in children or because AVMs may not be congenital lesions. Thus, Karlsson et al.<sup>25</sup> believe that AVMs are developmental and not congenital malformations, which develop during brain maturation (i.e., until the age of 25 years). Similarly, Morgan et al.<sup>78</sup> stated that the likelihood of recurrence is greatest in childhood and in brain AVMs with deep venous drainage. On the other hand, in SIVMS (Scottish Intracranial Vascular Malformation Study), 2 cases were reported

of spontaneous brain AVM obliteration 12 days and 2.4 years after presentation.<sup>79</sup>

In 1997, Lasjuanias<sup>16</sup> concluded that cerebral AVM formation is the result of a congenital event, which although occult at birth, becomes morphologically detectable later in life. Thus, AVM development is the complication of biological dysfunction, involving the vascular remodeling process. Additional triggers, the so-called revealing triggers, are necessary for this dysfunction to be shown. The target is likely to be located on the venous side of the capillaries.<sup>16</sup>

#### Implications of AVM Genetics

The genetic nature of cerebral AVMs remains controversial. Several gene studies have suggested that common genetic variations are associated with sporadic cerebral AVMs.<sup>80–83</sup> Leblanc et al.<sup>84</sup> reported that most of the genes and genetic risk factors associated with vascular malformations of the brain, including AVMs, have shown roles in vasculogenesis, angiogenesis, and vascular remodeling. Single nucleotide polymorphisms have been associated with a susceptibility to develop AVMs as well as with their progression to hemorrhage.<sup>85</sup> Genes involved in angiogenesis and inflammation may play a role in the pathophysiology of these lesions.<sup>85</sup> AVMs consist of active angiogenic and inflammatory dynamic lesions rather than a static congenital anomaly.<sup>86</sup> Several investigators<sup>86,87</sup> have reported overexpression of vascular endothelial growth factor, matrix metalloproteinase 9, interleukin 6, and transforming growth



**Figure 5.** Control digital subtraction angiography images of a female patient harboring an already known left middle cerebral artery dysplasia. In 2017, a de novo arteriovenous malformation formation identified (arrow), which

was not present on the obtained imaging workup in 2005. (With the permission of Dr. Emilio Lozupone.)

factor  $\beta$  in patients with AVM. Recent findings also suggest that angiogenic and inflammatory pathways synergize with underlying defects or hemodynamic derangements imposed on a congenital lesion injury to result in the clinical phenotype, perhaps in conjunction with as yet undetermined genetic or environmental influences.<sup>88</sup> Kim et al.<sup>87</sup> proposed a “response-to-injury” model of brain AVM pathogenesis.

Recent studies, incorporating many patients harboring AVMs and referring to their natural history, have focused on the estimation of the overall AVM hemorrhage rate and the annual risk of rupture and rerupture, as well as the identification of significant risk factors for bleeding.<sup>89-93</sup> However, none of these studies has evaluated whether cerebral AVMs are congenital or acquired lesions. This definition is of importance in understanding the biological behavior of AVMs but also for socioeconomic purposes, which may have an impact on the management and the overall outcome of these patients.

#### Limitations and Future Perspectives

There are limitations to our approach. First, de novo AVM cases are based mostly on case reports. Second, the exact pathophysiologic mechanisms of brain AVM formation remain obscure. There are theories, hypotheses, and proposed models, but the pathogenesis has yet to be elucidated. Large multicenter observational and genetic studies with long-term follow-up are necessary to determine the natural history of these rare, high-risk, vascular brain lesions. A prospective multidisciplinary international registry may also be helpful for addressing all these issues, by providing good-quality prospective data to researchers worldwide. One suggestion put forward is to investigate high-risk

newborns (HHT) with screening brain magnetic resonance imaging studies to help elucidate the genetic nature or not of the familial brain AVMs. Issues associated with insurance cover for AVM treatment constitute a worldwide problem. In certain geographic areas (e.g., South America), most insurance companies provide no cover for AVM treatment based on their “congenital nature.” The term “brain AV shunt of undetermined etiology” is frequently used in these circumstances to bypass this problem. Our consensus may provide further basis for cover of costs associated with brain AVM treatment.

#### CONCLUSIONS

AVMs are relatively rare, heterogeneous, and complex lesions, but they are the leading cause of hemorrhagic stroke in children and young adults and remain a significant risk of morbidity and mortality throughout the life of adults, despite significant improvements in their management and treatment. A better understanding of the natural history of these high-risk lesions is needed. Taking into consideration their rarity, the differences between familial and sporadic cases, their complex nature, and their challenging management, our consensus may help toward an agreement for approaching these patients harboring AVMs. An interaction between genetic susceptibility and environmentally acquired factors seems to be the most possible pathophysiologic mechanism of brain AVM formation. All participants believed that the presence of genetic variations alone is not enough for AVM formation and that a second hit is always required. Also, they all agreed that the congenital or otherwise character of intracranial AVMs may have no direct impact on their management, but it can



have considerable insurance implications and thus indirectly influence the patient's overall outcome.

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preparation include the following: conception and design of the study, K.N.F. and A.T.; acquisition of data, all authors; analysis and interpretation of data, A.T. and C.T.; drafting the article, A.T. and K.N.F.; critically revising the article, K.N.F.; and reviewing final version of the manuscript and approving it for submission, all authors.

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